



**Kerr Tar Regional Council of Governments**

**Area Agency on Aging**

***Regional Aging Services Plan***

***July 1, 2016 – June 30, 2020***

***“Alive and Thriving: Creating  
Value Today for a Sustainable  
Tomorrow!”***

# Kerr Tar

Area Agency on Aging



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Questions or comments regarding the contents of this Plan should be directed to:

Kerr Tar Regional Council of Governments  
Area Agency on Aging Director, Jillian Hardin

PO Box 709

1724 Graham Avenue

Henderson, NC 27536

(252) 436-2040

[jhardin@kerrtarcog.org](mailto:jhardin@kerrtarcog.org)

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## Executive Summary

The Kerr Tar Area Agency on Aging is an organization working within federal mandate to inform, advocate and plan for community based services on behalf of older adults. The Area Agency on Aging is part of the National Network set in place by the Older Americans Act of 1965.

The Area Agency on Aging is a department within the Kerr Tar Regional Council of Governments, a regional planning organization that serves 21 local governments in a five county area of Triangle North. Members include Franklin, Granville, Person, Vance and Warren counties and the 16 cities and towns within those counties.

The Area Agency on Aging staff works with advisory committees in each county to study the needs of older adults and plan for services to meet those needs. The service goal is to enable older adult's age 60 and better to live independently in their homes and communities of choice.

North Carolina ranks 9th nationally, both in total population and in the number of people 65 and better. In 2025, one in five North Carolinians will be 65 and older. Our 65 and better population will almost double in the next 20 years from 1.5 to 2.5 million. The number of people age 85 and older will be the fastest growing segment beginning in 2030 when the oldest of 2.4 million baby boomers near their 85th birthday.

As our population ages, we are faced with federal and state budget cuts while demands for services are increasing. It's an exciting yet challenging time where we will look beyond depending on public funds to develop business plans and fee for service options for services. In addition, the Kerr Tar Area Agency on Aging is expanding outreach to develop partnerships with various agencies and businesses throughout the region.

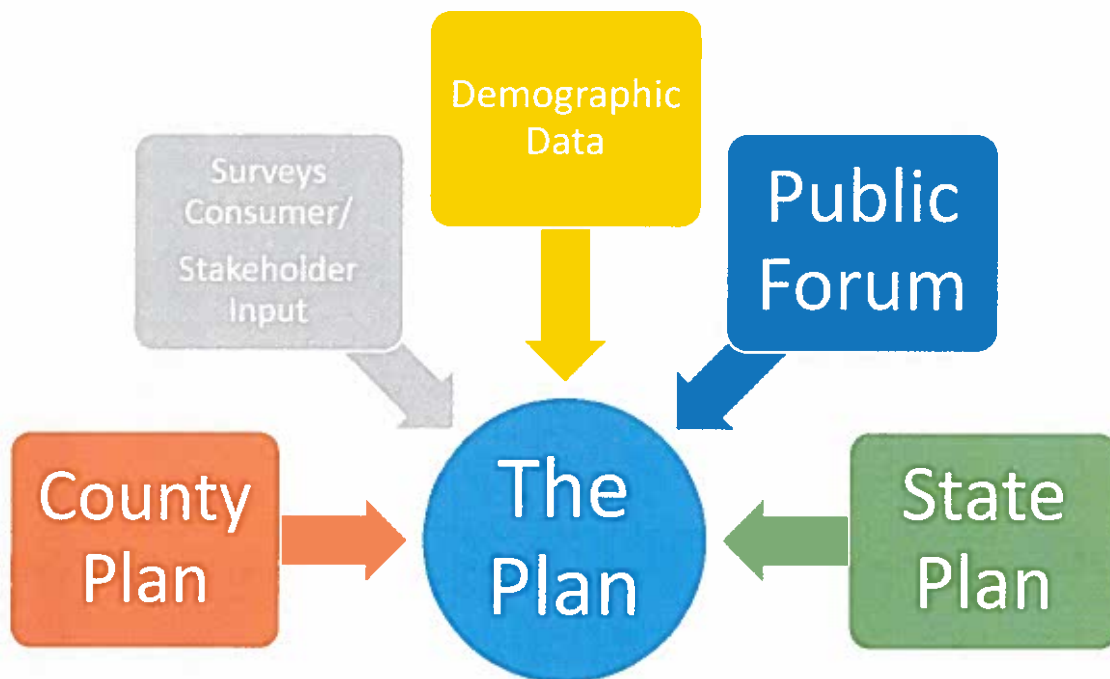
**Our Mission:** The Kerr Tar Area Agency on Aging will lead, develop and enhance community based services, opportunities and protections for older adults, persons with disabilities and family caregivers to empower them to live independent, healthy and improved quality of lives in their community of choice for as long as possible.

**Our Vision:** To be the source for leadership, advocacy, planning and information to ensure the provision of services to build livable and senior friendly communities that are prepared to meet the challenges of a growing aging population.

The Kerr Tar Regional Council of Governments Area Agency on Aging is required by federal and state law to submit a Regional Area Plan every four years. The plan provides important information regarding stakeholders and citizens as well as sets goals to benefit older adults and their caregivers in our region. Many of these goals create value in individuals across their lifespan. This plan serves as a guide and work plan for the Kerr Tar Area Agency on Aging to follow for the next four years.

## Developing the Plan

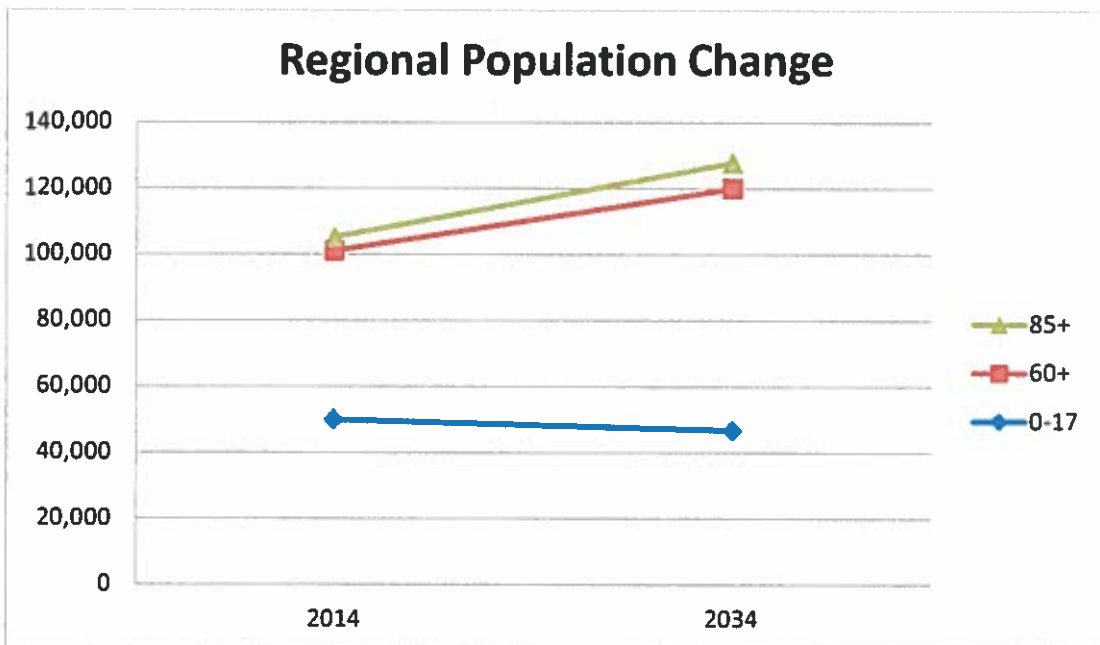
The staff of the Kerr Tar Area Agency on Aging underwent an intense process of planning to provide the foundation for developing this Regional Aging Services Plan: *"Alive and Thriving: Creating Value Today for a Sustainable Tomorrow!"* A public forum was held in January 2016 to gather input from consumers throughout the region. The event was held at the Kerr Tar Regional Council of Governments and 25 people attended. Eight individuals attended as concerned citizens after reading the press release in the local newspaper. In addition, a needs assessment survey was developed and distributed throughout the region. Input was provided by aging service providers, older adults, caregivers, government officials and many more. State plans, one county plan, data from surveys and demographic data were also used in the development of this plan.



## Demographics

The United States faces a demographic shift as more individuals are aging. This is true for our region as well, which serves Franklin, Granville, Person, Vance and Warren counties. There are now more people turning 60+ than those under the age of 18. This significant increase in growth in our older adult population is caused by the wave of Baby Boomers, those born between 1946 and 1964, beginning to reach retirement age. In addition, people are living longer and according to our data, residents are aging in place. The growth of the aging population in the next two decades will create opportunities and challenges for long-term supports and services.

Kerr Tar Regional Population			
Age	2014	2034	Difference Between 2014-2034
0-17	49,808	46,645	-3,163
60+	87,714	131,263	43,549
85+	4,188	7,702	3,514



Source: NC Office of State Budget and Management, October 2015

Franklin Aging Profile					
Ages	2014		2034		% Change (2014-2034)
	#	%	#	%	
<b>Total</b>	<b>63,225</b>		<b>75,398</b>		<b>19.3%</b>
0-17	14,556	23%	14,633	19%	0.5%
18-44	21,154	33%	24,405	32%	15.4%
45-59	14,102	22%	13,820	18%	-2.0%
60+	13,413	21%	22,540	30%	68.0%
65+	9,421	15%	17,607	23%	86.9%
85+	1,021	2%	2,220	3%	117.4%

Granville Aging Profile					
Ages	2014		2034		% Change (2014-2034)
	#	%	#	%	
<b>Total</b>	<b>58,104</b>		<b>61,909</b>		<b>6.5%</b>
0-17	11,983	21%	10,708	17%	-10.6%
18-44	20,162	35%	20,348	33%	0.1%
45-59	13,766	24%	11,217	18%	-18.5%
60+	12,193	21%	19,636	32%	61.0%
65+	8,545	15%	15,363	25%	79.8%
85+	915	2%	1,859	3%	103.2%

Person Aging Profile					
Ages	2014		2034		% Change (2014-2034)
	#	%	#	%	
<b>Total</b>	<b>39,265</b>		<b>40,049</b>		<b>2.0%</b>
0-17	8,512	22%	8,054	20%	-5.4%
18-44	12,482	32%	12,629	32%	1.2%
45-59	8,807	22%	7,176	18%	-18.5%
60+	9,464	24%	12,190	30%	28.8%
65+	6,766	17%	9,726	24%	43.7%
85+	754	2%	1,288	3%	70.8%

Vance Aging Profile					
Ages	2014		2034		% Change (2014-2034)
	#	%	#	%	
<b>Total</b>	<b>45,077</b>		<b>44,778</b>		<b>-0.7%</b>
0-17	10,878	24%	9,852	22%	-9.4%
18-44	14,954	33%	15,771	35%	5.5%
45-59	9,071	20%	7,225	16%	-20.4%
60+	10,174	23%	11,930	27%	17.3%
65+	7,313	16%	9,405	21%	28.6%
85+	921	2%	1,318	3%	43.1%

Warren Aging Profile					
Ages	2014		2034		% Change (2014-2034)
	#	%	#	%	
<b>Total</b>	<b>20,514</b>		<b>20,515</b>		<b>0.0%</b>
0-17	3,879	19%	3,398	17%	-12.4%
18-44	6,324	31%	6,058	30%	-4.2%
45-59	4,314	21%	4,007	20%	-7.1%
60+	5,997	29%	7,052	34%	17.6%
65+	4,428	22%	5,814	28%	31.3%
85+	577	3%	1,017	5%	76.3%

Source: NC Office of State Budget and Management, October 2015



Counties	White Alone	Black or African American	American Indian and Alaska Native Alone	Asian Alone	Hispanic of Latino Origin
Franklin	72.1%	25.8%	0.5%	0.6%	1.5%
Granville	66.5%	32.4%	0.2%	0.0%	1.6%
Person	76.0%	22.8%	0.8%	0.2%	0.6%
Vance	60.8%	38.8%	0.1%	0.1%	0.0%
Warren	51.0%	44.0%	3.0%	0.0%	0.0%

Source: American Community Survey 2010-2014

#### Of Individuals Aged 65+ in the Kerr Tar Region:

- More than 27% live alone and are vulnerable to social isolation
- Nearly 18% are Veterans
- Over 19% have one type of disability
- Nearly 32% did not graduate from high school
- The average household income for the region is \$28,800
- Only 14.5% live below the poverty line
- Only 13% are working

Characteristics: 65+	Franklin	Granville	Person	Vance	Warren
Living alone	27.5%	26.5%	25.8%	31.0%	27.8%
Veterans	15.7%	18.7%	19.8%	14.9%	19.7%
Have one type of disability	18.0%	16.5%	18.7%	21.1%	23.3%
Have less than a high school diploma	30.4%	31.1%	31.7%	34.2%	31.6%
Have a high school education, GED or alternative	39.8%	34.7%	37.0%	35.0%	34.1%
Median household income	\$31,993	\$29,109	\$31,512	\$26,898	\$24,561
Income below the poverty level	13.1%	11.4%	12.9%	17.6%	17.7%
Income is between 100% -199% of the poverty level	27.1%	30.6%	28.0%	28.6%	32.5%
In labor force	15.6%	11.3%	10.4%	14.0%	13.5%
Own their homes	82.0%	80.9%	80.8%	76.4%	78.2%

Source: American Community Survey 2010-2014

## Goals and Objectives

- **Goal 1: Empower older adults and their families to make informed decisions and easily access existing health and long-term care options**
  
- **Objective 1.1: Educate the public on the availability of services to foster independence, self-sufficiency, and their future planning for long-term needs**
  
- *Strategies:*
  - *Conduct outreach and inform older adults, family caregivers, and individuals with disabilities about benefits aimed at preventing disease, promoting wellness and living successfully in their communities.*
    - **Measures:**
      - ◆ Assist area senior centers during Medicare Open Enrollment and other Medicare presentations by providing at least eight hours of counseling services per county. Track and enter the number of seniors assisted through North Carolina Senior Health Information Insurance Program (SHIIP).
      - ◆ Increase seniors' ability to receive medical, prescription and preventive care by educating them about the various Medicare savings programs.
      - ◆ Make use of programs such as Benefits Check Up to provide information about assistance for older adults.
      - ◆ Track the number of seniors reached through Medicare Improvements for Patients and Provider's Act (MIPPA) outreach events.
      - ◆ Collaborate with aging service providers to host an Aging and Caregiver Expo to reach out to caregivers about local resources.
  
  - *Target outreach and in-reach to nursing homes and their residents on home and community based services and supports.*
    - **Measures:**
      - ◆ Track the number of in-reach and outreach activities conducted by Local Contact Agency staff with an annual goal of two visits per facility.
  
  - *Streamline access to long-term services and supports to facilitate informed decision-making for older adults, family caregivers and professionals in the aging network.*
    - **Measures:**
      - ◆ Host one region-wide educational training annually for stakeholders on issues affecting older adults, caregivers, and individuals with disabilities such as Money

Smart for Older Adults, Alzheimer's Conference, Elder Abuse Awareness and Long Term Care.

- ◆ Utilize an electronic listserv to disseminate accurate information regarding aging issues in a timely manner.
- ◆ Assist with the development and utilization of the of the Kerr Tar Regional Council of Governments website as a way to disseminate information to consumers, providers and other professionals.
- ◆ Redesign and publish a new Kerr Tar Area Agency on Aging brochure to include core programs: Home and Community Care Block Grant, Family Caregiver Support, Ombudsman and Planning programs so that consumers better understand the philosophy of the Area Agency on Aging.
- ◆ Utilize social media. Kerr Tar Area Agency on Aging staff will serve as administrators for the AAA Facebook page.
- ◆ AAA staff will expand and strengthen the Family Caregiver Resource Library for Caregivers to utilize.
- ◆ Maintain a current list of all Certified Nursing Facilities and licensed Adult Care Homes in the service area and review bi-annually for accuracy.

- **Objective 1.2: Honor and recognize the importance and value of older adults and their caregivers.**

➤ *Strategies:*

➤ *Annually recognize the President's Proclamation of National Caregiver month.*

▪ **Measures:**

- ◆ Hold a caregiver recognition event in at least two counties annually during the month of November to celebrate the value of dementia caregivers and their care recipients.
- ◆ Write and distribute a press release and send to regional media outlets highlighting National Caregiver Month and Alzheimer's Awareness month.

➤ *Annually recognize the President's Proclamation of Older Americans month.*

▪ **Measures:**

- ◆ Participate in regional events annually during the month of May and emphasize the importance of older adults.
- ◆ Write and distribute a press release that explains the Older American Act.

➤ *Annually recognize the Governor's Proclamation of Resident's Rights month.*

▪ **Measures:**

- ◆ Educate staff members and other interested parties on behalf of Long Term Care Residents on residents' rights and strategies to reduce incidents of complaints.
- ◆ Write and distribute a press release that explains Resident's Rights in October.

- *Annually recognize National Elder Abuse Awareness Month.*
- **Measures:**
  - ◆ Coordinate and participate in events such as the Elder Abuse Awareness Walk.
  - ◆ Write and distribute a press release that explains Elder Abuse Awareness and prevention during the month of June.

**Objective 1.3: Ensure inclusion of diverse cultures and abilities in all aspects of the aging and adult services network**

- *Strategies:*
    - *Increase outreach to consumers within the Haliwa-Saponi, a Native American people recognized as a tribe by the state of North Carolina. They are located in the Northeastern Piedmont area.*
      - **Measures:**
        - ◆ Participate in multi-cultural events in the region such as the Haliwa-Saponi Pow-Wow.
    - *Increase outreach to consumers within the Hispanic population in the region.*
      - **Measures:**
        - ◆ Distribute brochures and other marketing materials written in Spanish.
    - *Collaborate and partner with key agencies and organizations supporting older adults with physical and mental disabilities.*
      - **Measures:**
        - ◆ Partner with Community Family Advisory Committee (an advocacy group that represents individuals with mental illness, substance abuse and Intellectual Developmental Disabilities (IDD) to raise awareness and educate the public on mental health issues affecting older adults.
        - ◆ Partner with Alzheimer's of North Carolina to plan biennial one day Alzheimer's Caregiver Conference.
        - ◆ Collaborate with Vocational Rehabilitation's Money Follows the Person Transition Coordinator to assist older adults in transitioning from Skilled Nursing Facilities to the community.
-

## Goal 2: Enable older adults to remain independent and age in the place of their choice with appropriate services and supports

### Objective 2.1: Maintain and expand the availability of community-based services and supports

- *Strategies:*
  - *Utilize funds from the Family Caregiver Support Program for the provision of individual supports, respite care and supplemental services throughout the region.*
    - **Measures:**
      - ◆ Maintain contact with all support groups, attending at least ten annually to provide information and support to members.
      - ◆ Caregiver training will be continued and expanded in each county to include up-to-date information, support and new ideas to caregivers throughout the region.
      - ◆ Provide technical assistance to each county in order to strengthen the Family Caregiver Support Program.
  - *Offer caregiver specific programs throughout the region.*
    - **Measures:**
      - ◆ Provide at least one Powerful Tools for Caregivers workshop annually.
      - ◆ Offer the Virtual Dementia Tour program to family caregivers, direct service providers, emergency management personnel, law enforcement, and other interested groups.
      - ◆ Offer Grandparents Raising Grandchildren program for grandparent caregivers.
  - *Serve as the Local Contact Agency (LCA).*
    - **Measures:**
      - ◆ The AAA will maintain two certified Options Counselors who will maintain and expand knowledge of regional resources to support individuals who want to transition from facility to community.
      - ◆ Provide in-reach to Skilled Nursing Facilities about goals of the LCA.
      - ◆ Provide outreach to the general public during regional events such as health fairs.
  - *Strengthen and enhance mobility options for older adults and individuals with disabilities.*
    - **Measures:**
      - ◆ Support the Kerr Tar Planning Department with grant opportunities such as the *Transit 4 All* transportation grant.

- *Update and implement the Chronic Disease Self Management Education Business Plan (CDSME).*
  - **Measures:**
    - ◆ Use the CDSME Business Plan to sustain and expand Evidence-based Health Promotion Programs.
  
- *Collaborate with four different faith-based organizations to provide outreach to caregivers.*
  - **Measures:**
    - ◆ Partner with North Carolina Baptist Aging Ministries (NCBAM) for guidance.
    - ◆ Partner with Rebuilding Hope, an agency specializing in home repairs.
    - ◆ Partner with Flat Rock United Methodist Church to provide trainings and assist with support groups.
  
- *Collaborate with United Way 211 to ensure information and resources for older adult services and supports are included and up to date.*
  - **Measures:**
    - ◆ AAA staff will provide United Way with information and resources on services for seniors in the region.
    - ◆ AAA staff will encourage aging service providers to participate with United Way 211 and keep their information updated.

**Objective 2.2: Promote flexibility in publicly funded services and supports to allow older adults and their caregivers more opportunities to choose how and where they receive services**

- *Strategies:*
    - *Support and encourage consumer choice for older adults to age in their community of choice.*
      - **Measures:**
        - ◆ Educate aging service providers, stakeholders and older adults about consumer directed options.
        - ◆ Support aging service providers as they expand consumer directed programs.
-

## Goal 3: Empower older adults to have optimal health status and to have a healthy lifestyle

### Objective 3.1: Promote engagement in health and wellness programs and initiatives

- *Strategies:*
  - *Coordinate Regional Senior Games.*
    - **Measures:**
      - ◆ The Family Caregiver Support Specialist will serve as coordinator for the Regional Senior Games.
      - ◆ The Family Caregiver Support Specialist will plan senior games meetings, coordinate marketing, registration and games throughout the region.
  - *Implement the Walk with Ease program.*
    - **Measures:**
      - ◆ AAA staff will become certified to facilitate this exercise program.

### Objective 3.2: Expand access to and increase participation in evidence-based health promotion and disease prevention programs

- *Strategies:*
  - *Implement the Healthy Eating for Successful Living in Older Adults.*
    - **Measures:**
      - ◆ AAA staff will become certified to facilitate this community based nutrition program for adults 60 and better.
  - *Expand A Matter of Balance program.*
    - **Measures:**
      - ◆ AAA staff will become certified to facilitate this falls prevention program.
  - *Sustain and expand Stanford University's Chronic Disease Self Management Education programs.*
    - **Measures:**
      - ◆ AAA Staff will offer biennial Lay Leader trainings throughout the region for the Living Healthy with Chronic Conditions, Living Healthy with Diabetes and Chronic Pain Self-Management programs.
      - ◆ Continue to facilitate the Chronic Disease Self Management Education programs throughout the region.
      - ◆ The AAA Director will maintain certification as a T Trainer by facilitating Master Trainings throughout the state.
      - ◆ Use the CDSME Business Plan as a guide to sustain these programs.

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## Goal 4: Protect the safety and rights of older and vulnerable adults and prevent their abuse, neglect, and exploitation

### Objective 4.1: Maximize collaboration, outreach and training to prevent abuse, neglect and exploitation

➤ *Strategies:*

- *Serve as the regional grant recipient of the Senior Medicare Patrol program.*

- **Measures:**

- ◆ Educate Medicare and Medicaid beneficiaries to protect, detect and report healthcare fraud through presentations, exhibiting at community events, and one-on-one counseling.

➤ *Educate older adults on managing finances and avoiding financial exploitation.*

- **Measures:**

- ◆ Provide at least one Money Smart for Older Adults program biennially in each county.

➤ *Host events with specific emphasis on Elder Abuse Awareness.*

- **Measures:**

- ◆ Work with aging service providers to coordinate an annual Elder Abuse Awareness event, such as an Elder Abuse Awareness Walk.
- ◆ Collaborate with Departments of Social Services staff, aging service providers and other stakeholders on Elder Abuse Awareness campaigns.

### Objective 4.2: Strengthen emergency preparedness and response for older adults.

➤ *Strategies:*

➤ *Strengthen emergency preparedness and response for older adults.*

- **Measures:**

- ◆ Partner with Emergency Services to offer educational events for older adults on Emergency Preparedness throughout the region.
-



## Goal 5: Facilitate communities and older adults working together to plan and prepare for the future

### Objective 5.1: Promote volunteerism and other active engagement

➤ *Strategies:*

➤ *Ensure volunteer committees remain active and maintain capacity.*

▪ **Measures:**

- ◆ Fill vacancies on Regional Aging and Advisory Committees (RAAC), Senior Tar Heel Legislature (STHL) and Community Advisory Committees (CAC) with appropriate, actively engaged and committed volunteers.
- ◆ AAA staff will ensure all new committee volunteers are appropriately oriented.
- ◆ Present to community organizations about volunteer opportunities.
- ◆ Ombudsman will conduct quarterly trainings for all CAC members.

➤ *Support the RAAC in its efforts to inform county officials and interested stakeholders regarding issues related to older adults and their caregivers.*

▪ **Measures:**

- ◆ Coordinate quarterly meetings.
- ◆ Facilitate an annual presentation to the Kerr Tar Regional Council of Governments Board of Directors.

### Objective 5.2: Support aging network providers and committees, to better prepare and plan for a growing aging population

➤ *Strategies:*

➤ *Facilitate community groups to better understand the needs of older adults in the region.*

▪ **Measures:**

- ◆ AAA Director will start attending Aging Planning board meetings and Home and Community Care Block Grant meetings.
- ◆ Provide Aging Provider Expenditure Reports to Home and Community Care Block Grant (HCCBG) Planning Committees, RAAC/STHL and Aging Planning Board meetings.
- ◆ AAA staff will provide administration for regional and state meetings.
- ◆ AAA staff will conduct quarterly RAAC/STHL meetings and update members on important advocacy and aging information.
- ◆ AAA Director and staff will actively participate in the development of the Aging Plan for Franklin, Person and Vance counties.

➤ *Support Aging Service Providers in meeting the demands and needs of their clients.*

▪ **Measures:**

- ◆ AAA will coordinate quarterly Aging Service Provider meetings.

- ◆ Explore fee for service or private fee for service options.
- ◆ Explore grant opportunities with providers and assist with grant writing.
- *Offer Caregiver specific programs throughout the region.*
  - **Measures:**
    - ◆ Develop a caregiver survey for each county to determine need.
- *Collaborate with other Council of Governments departments to explore joint programs and funding opportunities.*
  - **Measures:**
    - ◆ Assist the Planning Department with the *Transit 4 All* grant.

**Objective 5.3: Support state and local groups to better prepare and plan for an aging population**

- *Strategies:*
    - *Serve on or work with state and local groups that advocate for the interest of older adults, individuals with disabilities and caregivers.*
      - **Measures:**
        - ◆ AAA staff will serve on committees such as the North Carolina Partnership Against Adult Abuse, NC Association of Area Agencies on Aging (NC4A), NC Coalition on Aging, Alzheimer's NC, NC Aging Specialist Association, NC Family Caregiver Specialist Association, NC Regional Long Term Care Ombudsman Association, Community Family Advisory Committee, Special Olympics Vance County, Henderson-Vance Recreation and Parks Commission and Warren County Healthy Carolinians Coalition.
    - *Be actively involved in planning for and hosting of the Southeastern Association of Area Agencies on Aging (SE4A) Conference to be held in North Carolina in 2020.*
      - **Measures:**
        - ◆ AAA staff will attend the SE4A Conference in Tennessee in 2019 for the Call to Conference in North Carolina.
        - ◆ AAA staff will be available to support the SE4A Conference in North Carolina.
-

## Goal 6: Ensure public accountability and responsiveness

### Objective 6.1: Implement operational improvements and managerial efficiencies for critical services and supports

- *Strategies:*
  - *Provide outcomes based programing.*
    - **Measures:**
      - ◆ Develop strategies based on evaluations and surveys for consumers and develop strategies based on results.
- *Strategies:*
  - *Maintain a strong knowledge of the Area Agency on Aging.*
    - **Measures:**
      - ◆ AAA staff meetings will be scheduled monthly.
      - ◆ Joint staff projects will be developed.
  - *Engage in Professional Development.*
    - **Measures:**
      - ◆ Annually, AAA staff will participate in at least three training and professional development opportunities to increase their knowledge on aging issues.
      - ◆ Annually, AAA staff will actively participate in their respective statewide associations.

### Objective 6.2 Strengthen the capacity of Aging Network Providers to meet the needs of older adults.

- *Strategies:*
  - *Educate providers on available community resources.*
    - **Measures:**
      - ◆ Provide technical assistance and resources to service providers and facilities.
  - *Support the efforts of local Senior Centers to obtain recertification as Centers of Excellence from the NC Division of Aging and Adult Services.*
    - **Measures:**
      - ◆ Review documentation and Senior Center Operations and Program Evaluation (SCOPE) Tool.
      - ◆ Offer technical assistance to aging service providers.
      - ◆ Serve on official site teams.

- *Ensure Home and Community Care Block Grant (HCCBG) and Family Caregiver Support (FCSP) providers are effectively utilizing funds to provide maximum service delivery.*

- **Measures:**

- ◆ AAA staff will monitor providers based on a monitoring schedule and annual risk assessment to ensure compliance of North Carolina Division of Aging and Adult Services program service standards.
- ◆ AAA staff will analyze monthly Provider Expenditure Reports and offer technical assistance to providers as needed.
- ◆ AAA Director will oversee provider expenditures and work with aging service providers, County Aging Planning Boards/HCCBG Committees to reach the goal of 100% expenditures of HCCBG/FSCP funds in each county.
- ◆ Provide training and technical assistance to providers on managing their waiting lists to ensure service delivery to older adults with the greatest needs.

## Conclusion

The Kerr Tar Area Agency on Aging is committed to meeting the many needs of our region's growing aging population. The six goals outlined in this Area Plan provide the vision and guidance for moving forward in our region. We will partner and work with various groups in order to implement the Area Plan.

To reach the goals defined in this Area Plan, we must work together with state, regional and local agencies. AAA staff will continue to serve on and be leaders for our respective associations. In addition, we will serve on various NC DAAS committees to improve and strengthen the aging network.

In addition, we must work together with our volunteers who serve on our various committees and groups. It is vital that we communicate the importance of aging issues and philosophy of the Area Agency on Aging. Our desire is to build a strong group of advocates within each county of our region. We want our volunteers to be engaged in their communities to increase awareness of the needs of our older adults.

Finally, we must work more closely with aging service providers in the sharing of knowledge and ideas to build a stronger regional aging network. Our goal is to be active on County Aging and Planning Boards and Home and Community Care Block Grant Committees. Aging Service Providers, older adults, county leaders and other professionals need to collaborate to plan for a sustainable tomorrow.

The Area Agency on Aging, our local service providers and older adults continue to face economic challenges. Continuing to provide programs and services to the growing number of older adults, individuals and family caregivers will be a challenge with our limited funding sources. Our best outcomes will be achieved when we work together to face these challenges.

We must improve collaboration, target available resources, and underscore accountability for ourselves and our provider agencies for enhanced results. The goals of the Area Plan can only be achieved with the support and strength of our many and varied stakeholders.

## **Appendices**

### **Section 1: Verification of Intent and Assurances**

**Exhibit 1: Verification of intent**

**Exhibit 2: Area Plan Assurances**

**Exhibit 3: Assurance of Compliance with Section 504 of Rehabilitation Act and Americans with Disabilities Act**

**Exhibit 4: Assurance of Compliance with the Civil Rights Act**

**Exhibit 5: Assurance of Legal representation for Regional Ombudsman**

### **Section 11: Administrative Matters**

**Exhibit 6: Organization Chart of Single Organizational Unit**

**Exhibit 7: Organization Chart of the Area Agency on Aging**

**Exhibit 8: Area Agency on Aging Staffing List**

**Exhibit 9: Regional Advisory Council Membership and Participation**

**Exhibit 10: Focal Point Organization**

### **Section 111: Needs Assessment Overview**

**Exhibit 11: Documentation of Area Agency on Aging Public Hearing**

**Exhibit 12: Results of Needs Assessment, Regional Summary**

### **Section 1V: Monitoring and Direct Services**

**Exhibit 13: Provision of Direct Services**

**Exhibit 14: Provider Monitoring Plan**

**SECTION I:**  
Verification of Intent and Assurances

## Verification of Intent

The Area Plan on Aging is hereby submitted for the Region K Planning and Service Area for the period July 1, 2016 through June 30, 2020.

It includes all assurances and plans to be followed by the Kerr Tar Area Agency on Aging under the provisions of the Older Americans Act, as amended in 2006 (Public Law 109-365); hereafter referred to as the Act. The identified Area Agency on Aging will assume full authority to develop and administer the Area Plan on Aging in accordance with all requirements of the Act and related State policy. In accepting this authority the Area Agency assumes major responsibility to develop and administer the Area Plan for a comprehensive and coordinated system of services and to serve as an advocate for older people in the planning and service area.

The Area Plan on Aging has been developed in accordance with all rules and regulations specified under the Act and is hereby submitted to the State Unit on Aging for approval.

William Hardin

Area Agency Director

May 17, 2016

Date

The Regional Advisory Council on Aging has had the opportunity to review and comment on the Area Plan on Aging. Comments are attached.

ATAH

Chairperson of the Regional Advisory Council on Aging

May 17, 2016

Date

The governing body of the Area Agency has reviewed and approves the Area Plan

Patricia S. Coe

Signature/Title

May 17, 2016

Date



## Area Plan Assurances

As part of the Area Plan on Aging, the Area Agency on Aging assures that:

A) It will administer its Area Plan on Aging, as required under Title III of the Older Americans Act of 1965, as amended, in accordance with the regulations, policies and procedures as prescribed by the U.S. Administration on Aging and the North Carolina Division of Aging and Adult Services.

B) It will cooperate with the North Carolina Department of Health and Human Services and the U.S. Department of Health and Human Services and participate in the implementation of special initiatives that may be developed.

C) Each activity undertaken by the agency, including planning, advocacy, and systems development, will include a focus on the needs of low-income minority older individuals and older individuals residing in rural areas. OAA 306(a)(4)(C)

D) It will report annually to the Division of Aging and Adult Services in detail the amount of funds it receives or expends to provide services to older individuals. OAA 306(a)(13)(E)

E) Expenditures for Title III-B priority services will meet or exceed the following percentages, unless a lesser percentage has been approved by the Division of Aging and Adult Services as a part of the area plan review process:

Access - 30%

In-Home - 25%

Legal - 2%

OAA 306(a)(2)

F) Designation, where feasible, of a focal point for comprehensive service delivery will be made in each community, giving special consideration to designating multipurpose senior centers operated by organizations that have a proven track record of providing services to older individuals, that—

- 1) were officially designated as community action agencies or programs under section 210 of the Economic Opportunity Act of 1964 for FY 1981 and have maintained that status; or
- 2) came into existence during FY 1982 as direct successors in interest to such community action agencies or programs and meet the requirements under section 676B of the Community Services Block Grant Act.

It will specify in grants, contracts, and agreements implementing the area plan the identity of each focal point.

OAA 306(a)(3) and (6)(C)

Exhibit 10 provides information needed to meet this assurance.

G) It will set specific objectives for providing services to older individuals with the greatest economic or social needs and those at risk for institutional placement, to include specific objectives for

providing services to low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas. OAA 306(a)(4)

H) Each agreement with a service provider funded under Title III of the Older Americans Act (referred to in this section as 'the Act') shall require that the provider-

- 1) specify how the provider intends to satisfy the service needs of low-income minority elderly, older individuals with limited English proficiency, and older individuals residing in rural areas in the provider's service area;
- 2) to the extent feasible, provide services to low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas in accordance with their need for such services; and
- 3) meet specific objectives established by the Area Agency on Aging for providing services to low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas within the planning and service area (referred to in this Section as 'PSA'). OAA 306(a)(4)

I) Outreach efforts will identify and inform individuals eligible for assistance under the Act and their caregivers, with special emphasis on-

- 1) older individuals with greatest economic and social need (with particular attention to low-income minority individuals and older individuals residing in rural areas);
- 2) older individuals with severe disabilities;
- 3) older individuals with limited English proficiency;
- 4) older individuals with Alzheimer's disease and related disorders with neurological and organic brain dysfunction (and caregivers of such individuals);
- 5) older individuals at risk for institutional placement; and
- 6) older individuals who are Indians if there is a significant population in the planning and service area.

OAA 306(a)(4)(B) and (a)(6)(G)

J) It will coordinate planning, identification, assessment of needs, and provision of services for older individuals with disabilities, with particular attention to individuals with severe disabilities, and individuals at risk for institutional placement with agencies that develop or provide services for individuals with disabilities. It will provide to the extent feasible, for the furnishing of services under this Act, consistent with self-directed care. It will include information detailing how it will coordinate activities, and develop long-range emergency preparedness plans, with local and State emergency response agencies, relief organizations, local and State governments, and other institutions that have responsibility for disaster relief service delivery.

OAA 306(a)(5) (16)(17)

K) In connection with matters of general policy arising in the development and administration of the Area Plan, the views of recipients of services under such plan will be taken into account. OAA 306(a)(6)

Exhibit 12 and Area Plan Goals provide information to meet this assurance.

L) It will serve as an advocate and focal point for the elderly within the community by (in cooperation with agencies, organizations, and individuals participating in activities under the plan) monitoring, evaluating, and commenting upon all policies, programs, hearings, levies, and community actions which will affect older individuals. OAA 306(a)(6)

M) Where possible, it will enter into arrangements with organizations providing day care services for children, assistance to older individuals caring for relatives who are children, and respite for families so as to provide opportunities for older individuals to aid or assist on a voluntary basis in the delivery of such services to children, adults, and families. Where possible, preference will be given to entering into arrangements and coordinating with organizations that have a proven track record of providing services to older individuals, that—

- 1) were officially designated as community action agencies or programs under section 210 of the Economic Opportunity Act of 1964 for FY 1981 and have maintained that status; or
- 2) came into existence during FY 1982 as direct successors in interest to such community action agencies or programs and meet the requirements under section 676 B of the Community Services Block Grant Act. OAA 306(a)(6)(C)

N) It will make use of trained volunteers in providing services delivered to older individuals and individuals with disabilities needing such services and, if possible work in coordination with organizations that have experience in providing training, placement, and stipends for volunteers or participants (such as organizations carrying out Federal service programs administered by the Corporation for National and Community Service), in community settings. OAA 306(a)(6)(C)

O) It will establish an advisory council consisting of older individuals (including minority individuals and older individuals residing in rural areas) who are participants or who are eligible to participate in programs assisted under the Act, family caregivers of such individuals, representatives of older individuals, service providers, representatives of business community, local elected officials, providers of veteran's health care (if a veterans health care facility is located in the Area Agency PSA), and the general public, to advise continuously the Area Agency on Aging on all matters relating to the development of the area plan, the administration of the plan, and operations conducted under the plan. OAA 306(a)(6)(D)

Exhibit 9 provides information to meet this assurance.

P) It will establish effective and efficient procedures for coordination of services with entities conducting—

- 1) programs that receive assistance under the Older Americans Act within the PSA; and
- 2) other Federal or federally assisted programs for older individuals at the local level, with particular emphases on entities conducting programs described in section 203(b) of the Older Americans Act within the PSA. OAA 306(a)(6)(E) and (12)

**Q) In coordination with the State agency and with the State agency responsible for mental health services, increase public awareness of mental health disorders, remove barriers to diagnosis and treatment, and coordinate mental health services (including mental health screenings) provided with funds expended by the Area Agency on Aging with mental health services provided by community health centers and by other public health agencies and nonprofit private organizations. OAA 306(a)(6)(F)**

**R) It will facilitate the area-wide development and implementation of a comprehensive, coordinated system for providing long-term care in home and community-based settings, in a manner responsive to the needs and preferences of older individuals and their family caregivers, by:**

- 1) collaborating, coordinating activities, and consulting with other local public and private agencies and organizations responsible for administering programs, benefits, and services related to providing long-term care;**
- 2) conducting analyses and making recommendations with respect to strategies for modifying the local systems of long-term care to better respond to the needs and preferences of older individuals and family caregivers; facilitate the provision, by service providers, of long-term care in home and community-based settings; and target services to older individuals at risk for institutional placement, to permit such individuals to remain in home and community-based settings;**
- 3) implementing, through the agency or service providers, evidence-based programs to assist older individuals and their family caregivers in learning about and making behavioral changes intended to reduce the risk of injury, disease, and disability among older individuals; and**
- 4) providing for the availability and distribution (through public education campaigns, Aging and Disability Resource Centers/Connections, the area agency on aging itself, and other appropriate means) of information relating to the need to plan in advance for long-term care and full range of available public and private long-term care (including integrated long-term care) programs, options, service providers, and resources. OAA 306(a)(7)**

**S) Case management services provided under Title III of the Act through the Area Agency on Aging will—**

- 1) not duplicate case management services provided through other Federal and State programs;**
- 2) be coordinated with services described in subparagraph (1); and**
- 3) be provided by a public agency or nonprofit private agency that: (i) gives each older individual seeking services under Title III a list of agencies that provide similar services within the jurisdiction of the Area Agency on Aging; (ii) gives each individual described in clause (i) a statement specifying that the individual has a right to make an independent choice of service providers and documents receipt by such individual of such statement; (iii) has case managers acting as agents for the individuals receiving the services and not as promoters for the agency providing such services; or (iv) is located in a rural area and obtains a waiver of the requirements described in clauses (i) through (iii). OAA 306(a)(8)(C)**

**T) It will provide assurances that the agency, in carrying out the State Long-Term Ombudsman Program under section 307(a)(9), will expend not less than the total amount of funds appropriated under the Act and expended by the agency in fiscal year 2000 in carrying out such a program under Title VII of the Act. OAA 306(a)(9)**

**U) It will provide a grievance procedure for older individuals who are dissatisfied with or denied services under Title III of the Act. OAA 306(a)(10)**

**V) It will provide information and assurances concerning services to older individuals who are Native Americans (referred to in this paragraph as 'older Native Americans'), including-**

- 1) information concerning whether there is a significant population of older Native Americans in the PSA and if so, an assurance that the Area Agency on Aging will pursue activities, including outreach, to increase access of those older Native Americans to programs and benefits provided under Title III of the Act;**
- 2) an assurance that the Area Agency on Aging will, to the maximum extent practicable, coordinate the services the agency provides under Title III of the Act with services provided under Title VI of the Act; and**
- 3) an assurance that the Area Agency on Aging will make services under the area plan available, to the same extent as such services are available to older individuals within the PSA, to older Native Americans. OAA 306(a)(11)**

**W) If a substantial number of the older individuals residing in the planning and service area are of limited English-speaking ability, then the area agency on aging for the planning and service area will (a) utilize in the delivery of outreach services under section 306(a)(2)(A), the services of workers who are fluent in the language spoken by a predominant number of such older individuals who are of limited English-speaking ability; and (b) will designate an individual employed by the area agency on aging, or available to such area agency on aging on a full-time basis, whose responsibilities will include (i) taking such action as may be appropriate to assure that counseling assistance is made available to such older individuals who are of limited English speaking ability in order to assist such older individuals in participating in programs and receiving assistance under this Act; and (ii) providing guidance to individuals engaged in the delivery of supportive services under the Area Plan involved to enable such individuals to be aware of cultural sensitivities and to take into account effectively linguistic and cultural differences. OAA 307(15)**

**X) It will maintain the integrity and the public purpose of services provided, and service providers, under Title III of the Act in all commercial and contractual relationships. It shall disclose to the Division of Aging and Adult Services and the Federal Assistant Secretary on Aging the identity of each non-governmental entity with which it has a contract or commercial relationship relating to the provision of services to older individuals as specified in the Act and the nature of such contract or relationship. It shall demonstrate the effectiveness and efficiency of services provided through these contract or commercial relationships as required by the Act. On the request of the Federal Assistant Secretary or the Division of Aging and Adult Services, it shall disclose all sources and expenditures of**

funds such agency receives or spends to provide services to older individuals, for the purpose of monitoring compliance with the Act (including conducting an audit). OAA 306(a)(13)

**Y) Funds received under Title III will be used-**

1) to provide benefits and services to older individuals, giving priority to older individuals identified in assurance G; and

2) in compliance with assurance X and the limitations specified in Section 212 of the Act, pertaining to contracting and grant authority; private pay relationships; and appropriate use of funds.

OAA 306(a)15

**AA) Preference in receiving services under Title III of the Act will not be given by it to particular older individuals as a result of a contract or commercial relationship that is not carried out to implement this Title. OAA 306(a)(14)**

**BB) If it desires to provide directly any supportive, nutrition, or in-home services (as defined in Section 342) a waiver shall be requested as part of the Area Plan process and such request(s) will be evaluated based upon the following criteria--**

- 1) provision of such services by the agency is necessary to assure an adequate supply of such services;
- 2) such services are directly related to the agency's administrative functions; or
- 3) such services can be provided more economically, and with comparable quality, by the agency.

OAA 307(a)(8)(A)

Exhibit 13 provides information needed to meet this assurance. Even though the Long-Term Care Ombudsman Program is a direct service provided by the Area Agency, no waiver is required because State statute (G.S. 143B-181.17) places the program in the Area Agency. The Division of Aging and Adult Services will not require a waiver request for direct provision of Information and Options Counseling (I&OC) or Outreach.

OAA 307(a)(8)(C)

**CC) It will complete Exhibit 5 to assure compliance with the 1987 Amendments to the Act, Section 712(g)(1)(ii) which requires that legal representation as well as consultation and advice be provided for the Regional Ombudsman. The assurance is required on an ongoing basis and is to be submitted as part of the Area Plan. OAA 712(g)(1)(ii)**

**DD) Each Regional Ombudsman reports regularly to the Office of State Long-Term Care Ombudsman about data collected and activities of the Regional Ombudsmen, provides information to the general public, and maintains documentation of the required Program duties. [42 U.S.C. §§ 3058g (5)(C)]; [G. S. 143B-181.19(3) (7) (9)]**

**EE) Each Regional Ombudsman performs mandated duties to identify, investigate, and resolve complaints made by or on behalf of long-term care residents [42 U.S.C. §§ 3058g (5)(B)(iii); G. S. 143B-181.19-.20]**

FF) There is the provision of the required initial training for new Community Advisory Committee members; ongoing training for established community advisory committee members, and technical assistance to these community advisory committees in completion of the committees' reporting requirements [G. S. 143B-181.19 (8); Long-Term Care Ombudsman Program Policy and Procedures: Section 1506 (Q)]

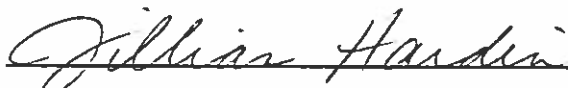
GG) The Elder Abuse Prevention funds are used to provide public education and outreach services to identify and prevent abuse, neglect, and exploitation of older individuals, provide for receipt of reports of abuse, neglect, and exploitation, and the referral of complaints of older individuals to law enforcement agencies, public protective service agencies, licensing and certification agencies, ombudsman programs or other protection and advocacy systems as appropriate. [42 U.S.C. §§ 3058 (i)] Area Plan Goal 4 provides information needed to meet this assurance.

HH) It will notify the Division of Aging and Adult Services within 30 days of any complaints of discrimination or legal actions filed against the Area Agency or the Council of Governments in its treatment of applicants and employees. AAA Policies and Procedures Manual, Section 302.

II) It will support the mission of the NC Senior Tar Heel Legislature in a manner prescribed by the Division of Aging and Adult Services and endorsed by the NC Association of Area Agencies on Aging. (G.S. 143B-181.55)

JJ) It will be in compliance with all other requirements stated in Section 306 of the 2006 Amendments to the Older Americans Act.

KK) It will submit further assurances to the NC Division of Aging and Adult Services in the event of any change and/or addition to the regulations, policies, and procedures governing the Area Agency on Aging and its Area Plan.

 \_\_\_\_\_ *May 17, 2016*  
Area Agency Director's Signature Date

## Assurance of Legal Representation of Regional Ombudsman

Name and Address of Attorney/Firm:

Hopper, Hicks, + Wrenn, PLLC  
Att: James C. Wrenn, Jr.  
PO Box 247  
Oxford, NC 27565

Period of Time Covered by Contract:

July 1, 2016 - June 30, 2017; renews unless terminated

Scope of Services: Pursuant to 2006 Amendments to the Older Americans Act, Section 712(g)  
Division of Aging and Adult Services Administrative Letter 89-34

Key Elements of Contractual Agreement

1. Ensure that adequate legal counsel is available to each regional ombudsman for advice and consultation and that legal representation will be provided for the regional ombudsman against whom suit or other legal action is brought in connection with the performance of his/her official duties.
2. Ensure that each Regional Ombudsman as a designated representative of the state office has the ability to pursue administrative, legal and other appropriate remedies on behalf of residents in long-term care facilities (45 CFR 1327.15(j)).

AGREED UPON BY:

Patricia Cox 5-26-16

Executive Director, Name of Council of Governments, Date

Jillian Hardie 6-1-16

Area Agency on Aging Director, Date

J. Wrenn May 26, 2016

Legal Representative, Name of Firm, Date

James C. Wrenn, Jr., manager  
Hopper, Hicks, + Wrenn, PLLC



**Assurance of Compliance with Section 504 of the Rehabilitation Act of 1973, as Amended, and The disabilities Act of 1990**

The Area Agency on Aging agrees to comply with Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794) and with the Americans with Disabilities Act of 1990.

Though the Area Agency on Aging will not make a survey of identifiable barriers to people with disabilities in the programs listed below, we do promise to follow a policy of "nondiscrimination against the handicapped" in providing or contracting for these services. If we find that present services or facilities provided by this agency or of those with whom we contract do discriminate against the handicapped, we promise, (1) first, to try to remedy the situation; (2) second, to contract with another provider that does not discriminate; or (3) third, if an alternative is not available or feasible, to find a comparable service for the handicapped person. If the last course (3) is chosen, we shall take steps to ensure that no additional costs are incurred by the handicapped person and that the service is both equally effective, affords equal opportunity, and does not segregate handicapped individuals such that they are in a more restrictive setting than non-handicapped persons receiving the same service.

The purpose of this agreement is to ensure that all services and facilities obtained from contracts made through local services agencies are readily accessible to and usable by persons with disabilities.



Signature and Title of Authorized Official


  
Date

**Assurance of Compliance with the Department of Health and Human Services Regulation under Title VI of The Civil Rights Act of 1964**

The Area Agency on Aging (herein called the "Applicant") will comply with Title VI of the Civil Rights Act of 1964 (P.L.88-352) and all requirements imposed by or pursuant to the Regulations of the Department of Health and Human Services (45 CFR Part 80) issued pursuant to that title, to the end that in accordance with Title VI of that Act and Regulation, no person in the United States shall on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department; and hereby gives assurance that it will immediately take any measure necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Applicant for the period during which the Federal financial assistance is extended to it by the Department.

This Assurance is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Applicant by the Department, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The Applicant recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Applicant, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Applicant.

 \_\_\_\_\_

Signature and Title of Authorized Official

 \_\_\_\_\_  
Date

## Assurance of Legal Representation of Regional Ombudsman

Name and Address of Attorney/Firm:

Hopper, Hicks, + Wrenn, PLLC  
Attn: James C. Wrenn, Jr.  
PO Box 247  
Oxford, NC 27565

Period of Time Covered by Contract:

July 1, 2016 - June 30, 2017; renews unless terminated

Scope of Services: Pursuant to 2006 Amendments to the Older Americans Act, Section 712(g)  
Division of Aging and Adult Services Administrative Letter 89-34

Key Elements of Contractual Agreement

3. Ensure that adequate legal counsel is available to each regional ombudsman for advice and consultation and that legal representation will be provided for the regional ombudsman against whom suit or other legal action is brought in connection with the performance of his/her official duties.
4. Ensure that the Office of Regional Ombudsman has the ability to pursue administrative, legal and other appropriate remedies on behalf of residents in long-term care facilities.

AGREED UPON BY:

Patricia D Cox 5-26-2016

Executive Director, Name of Council of Governments, Date

Jillian Harsh 6-1-16

Area Agency on Aging Director, Date

J. C. Wrenn, Jr. May 26, 2016

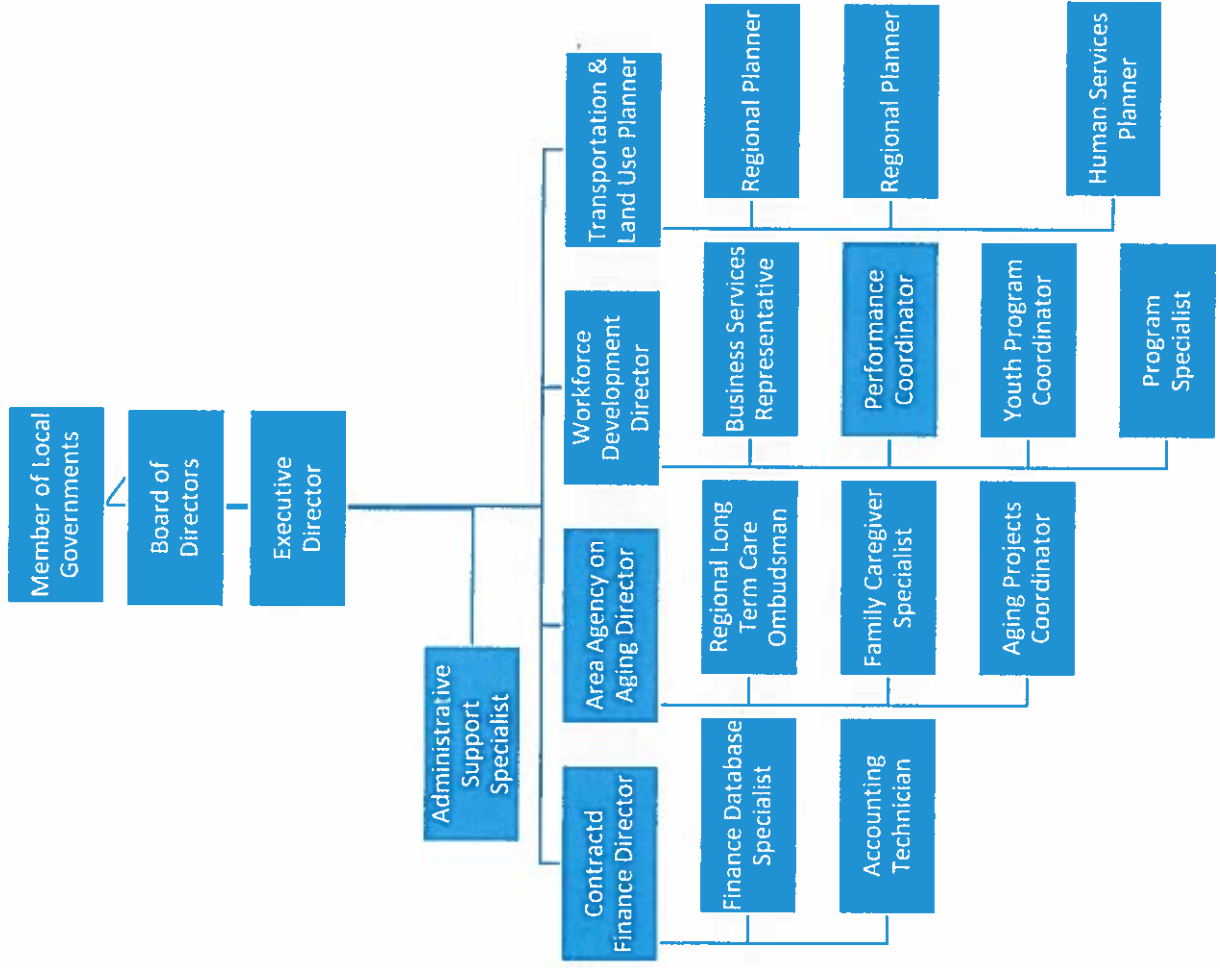
Legal Representative, Name of Firm, Date

James C. Wrenn, Jr., manager  
Hopper, Hicks, + Wrenn, PLLC

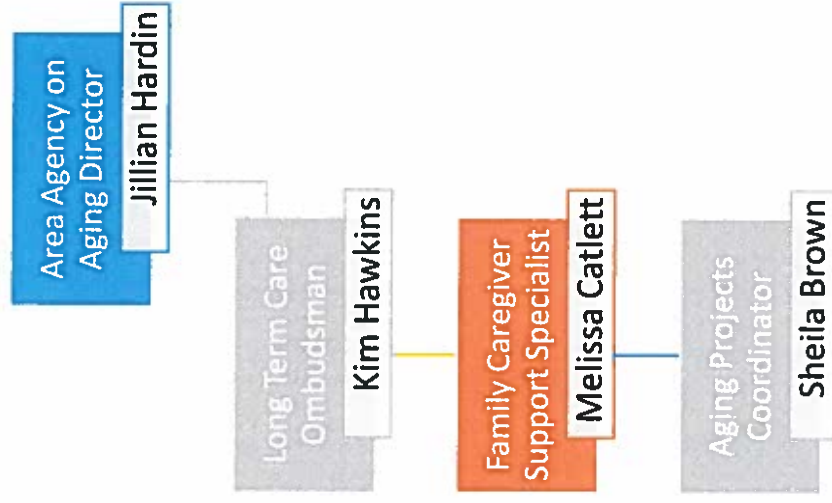
## **Section 11**

### Administrative Matters

**Exhibit 6: Organizational Chart of Single Organizational Unit**



## Exhibit 7: Organizations Chart of Area Agency on Aging



## Exhibit 8: Area Agency on Aging Staffing list

	Name	Position	Race/ Ethnicity	FTE/ Temporary	List funding source	% of time spent on duties
1	Jillian Hardin	Director	5	FTE	P&A	100%
2	Sheila Brown	Aging Projects Coordinator	3	FTE	IID, AAA, SMP, MIPPA, LCA	IID = 20% AAA = 25% SMP = 5% MIPPA = 15% LCA = 35%
3	Melissa Catlett	Family Caregiver Specialist	5	FTE	FCSP	FCSP = 92% P & A = 5% LCA = 3%
4	Kim Hawkins	Ombudsman	3	FTE	Elder Abuse, OMB	Omb = 96% EA = 4%

**(Please submit the amended exhibit annually)**

### Race/Ethnicity Categories

1. American Indian or Alaskan Native (Alone)
2. Asian (Alone)
3. Black/African American (Alone)
4. Native Hawaiian or Pacific Islander (Alone)
5. Non-Hispanic White (Alone)
6. White Hispanic (Alone)
7. Some Other Race
8. Two or More Races

## Exhibit 9: Regional Advisory Council Membership and Participation

Complete the list of current members of the Regional Advisory Council as indicated below.

#	Name		Gender M/F	County	Position Code(s) (Note all that apply)	Organizational Affiliation(s)
	Last	First				
	Deats	Rachelle	F	Franklin	2, 7	HDM Volunteer
	Greer	Alan	M	Franklin	2,7,9	HDM Volunteer,
	Robertson	Dot	F	Franklin	2,7,8	Chair, Committee for Senior Dance, Director to HDM route at church
	Adams	Donnell	M	Franklin	3,8,9,4	Vocational Rehabilitation
	Douglass	Patsy	F	Franklin	2	
	Petway	Alva	F	Granville	2,3,7	
	Magnanti	Michael	M	Granville	9	NC Farm Bureau
	Anderson	Ethel	F	Granville	2,3,4,6,9	
	Owens	John	M	Granville	2,5,6	Retired school personnel, Senior Tar Legislature Delegate, Lions Club
	Bryant	Sue	F	Granville	2,6,7,8,9	Part Time staff Granville County Senior Center as SHIP Counselor
	Starr	Melissa	F	Granville	2,6,8,9	Director of Adult Day Care Center



Naylor	Susan	F	Person	2,6,8	Retired Duke University Human Resources, President of Friends of Person County Senior Center
Jones	Angela	F	Person	6,8	Adult Home Specialist Person County DSS
Watson	Jackie	F	Person	2	
Gates	Rosalie	F	Person		Retired Professor, Meredith College, Retired, Person County Board of Elections, Member of Women's Forum of NC
Reed	Leavia	F	Person	2,7	Member of Friends of Person County Senior Center
Allen	Robert	M	Person	2,5,6	Mason, Retired Employees Association
Tubbs	Sandra	F	Vance	1,2,3,6,7	Retired Independent Consultant Strategic Planning, Chair Vance County Senior Center Advisory Council
Baskerville	Leslie	F	Vance	2,3,6	Retired educator
Brodie	William	M	Vance	2,3,4,6	Retired Military, Pastor, Hospital Volunteer, Community Watch, Advisory Board for Senior Center and Advisory Board for Aging. Member of Minister Community Partnership

Hoyle	Cathy	F	Vance	2,6,8	Pastor, Facilitates Caregiver Support Group
Branch	Tammy	F	Vance	8,9	DCI Support Specialist (Home Health Agency)
McClanahan	Jane	F	Vance	2	
Jefferson	Charles	M	Warren	2,3,6,8	Senior Tar Heel Legislature Delegate, retired Activities Program for Persons with Developmental Disabilities Assistant
Fleming	Ernest	M	Warren	2,6,9,10	Former Warren County Commissioner, Owner of Oakley Hall Antiques and Arts Inc.
Broach	Virginia	F	Warren	2,3,6	
Wade	Antha	F	Warren		
Williams	Robin	F	Warren	2,3,8	Retired Juvenile Court Counselor/Social Worker, Senior Center, Respite Program, Senior Caregiver

<u>Position Code#</u>	<u>Description</u>
#1	Recipient of Older Americans Act service
#2	Person age 60 or older
#3	Non-white person
#4	Person representing Veteran's Affairs
#5	Chairperson of the Council
#6	Resident of rural area
#7	Family caregiver of older person
#8	Service provider
#9	Representative of business community
#10	Local elected official

How many times did the Regional Advisory Council meet during the past full state fiscal year?

Four

### Exhibit 10: Focal Point Organization

Designated Focal Point Agency	County	Check if		
		Multipurpose Senior Center	Community Action Program	Other
Kerr Tar Regional Council of Governments Area Agency on Aging PO Box 709 Henderson 27536	ALL			
Franklinton Senior Center 604 East Mason Street Franklinton 27525 (Franklin County Department on Aging)	Franklin	X		
Louisburg Senior Center 127 Shannon Village Louisburg 27549 (Franklin County Department on Aging)	Franklin	X		
Granville County Senior Services 107 Lanier Street Oxford 27565	Granville	X		
South Granville Senior Center Corner of 56 and Main Street Creedmoor 27522 (Granville County Senior Services)	Granville	X		
North Granville Senior Center 318 Highway 15 Stovall 27582 (Granville County Senior Services)	Granville	X		
Person County Senior Center PO Box 709 Roxboro 27573	Person	X		

<p>Vance County Senior Center 126 S Garnett St Henderson 27536</p>	<p>Vance</p>	<p>X</p>				
<p>Warren County Senior Center 435 West Franklin Street Warrenton 27589 (Warren County Department on Aging)</p>	<p>Warren</p>	<p>X</p>				

## **Section 111**

### **Needs Assessment Overview**

## **Exhibit 11: Documentation of Area Agency on Aging Public Hearing (if applicable)**

Date:

January 15, 2016

Place:

Kerr Tar Regional Council of Governments

1724 Graham Avenue

Henderson, NC 27536

Summary of Major Comments:

We enjoyed a turnout of 25 individuals for the event. Eight individuals attended after reading about the session in the local newspaper. There was a representative from every county who provided input. AAA staff facilitated discussion on aging issues. The top major comments included a need for more transportation in the region. Residents are interested in being engaged in their community but have difficulty finding transportation to get them to and from events, churches and shopping trips. Also, participants commented about the need for community-based services. Finally, medical care and treatment received several comments. The most intriguing came from Franklin County residents. The hospital located in Louisburg closed October 2015. Residents now have to travel outside counties for Emergency or hospital care.

## Exhibit 12: Needs Assessment Regional Summary

Top 3 inadequately met needs in the county

County	1	2	3
Franklin	Medical Care or Treatment	Transportation	Housing
Granville	Community Based Services	Housing	Finances or Income
Person	Community Based Services	Long Term Care	Medical Care or Treatment
Vance	Housing	Finances or Income	Social Interaction
Warren	Housing	Transportation	Community Based Services



## **Section 1V**

### **Monitoring and Direct Services**

### Exhibit 13: Provision of Direct Services – Waiver Request

Fiscal Year: 2017

1. Name of the Organization: Kerr Tar COG Area Agency on Aging

2. Summary of Service Information:

Name of Service	Service Code	Affected Counties	Nature of Request	
			New	Continuation
Health Promotion Disease Prevention	401	Franklin Granville Person Vance Warren		X
Caregiver Training Programs (PTFC)	835	Franklin Granville Person Vance Warren	X	

By signing below the AAA Director is affirming that affected local interests (e.g., Board of County Commissioners, local HCCBG planning committee) agree with this plan for services.

DocuSigned by:  
Jillian Headin 6/1/2016  
 Area Agency on Aging Director Date

### Provision of Direct Services (Continued)

The information requested below is required for each service that the Area Agency on Aging requests approval to provide directly.

Name of the Organization: Kerr Tar Area Agency on Aging

Name of Service: Health Promotion Disease Prevention Service Code: 401 FY: 2017

1. Budget:
  - A. For non-unit activities (including health promotion, medication management, senior center general purpose, Housing and Home Improvement, and the family caregiver support program), attach a line-item budget identifying all personnel involved, salaries, fringe, travel, equipment, indirect cost rate, and other expenses.
  - B. For unit-producing activities, funded by the HCCBG, attach the Provider Services Summary (DOA-732) and the Service Cost Computation Worksheet (DOA-732A) and the Labor Distribution Worksheet (DOA-732A1).
2. Complete and attach Form DOA-733 describing the method for targeting low-income minority and rural persons.
3. Describe the efforts made to cultivate new or existing contractors to provide this service, the results to date, and plans for the upcoming year: With the limited funds that are available in our region, we feel it is in the best interest of older adults to have the AAA use the funds to support the efforts of the Aging Programs Coordinator, certified leaders, the training of new leaders, program expansion, and continue to provide all materials and supplies needed to implement Evidence Based Health Promotion programs.
4. For non-unit producing activities only (item #1A above) provide a brief narrative of the planned service and activities.  
AAA plans to certify two staff in the Healthy Eating for Successful Living in Older Adults EBHP program. One AAA staff will become certified to facilitate A Matter of Balance program. Funds will be used to market, recruit and train new leaders for the Living Healthy and Living Healthy with Diabetes programs. In addition, funds will be used to facilitate the Chronic Pain Self Management Program as well as purchase new books, cds, and all supplies and materials needed for all HPDP programs.

DocuSigned by:

William Hardin  
Area Agency on Aging Director

6/1/2016  
Date

Approved Not Approved  
(circle one)

Suzanne T. Merrill  
Director of NC DAAS  
Date 6/26/16

## Provision of Direct Services (Continued)

The information requested below is required for each service that the Area Agency on Aging requests approval to provide directly.


Name of the Organization: Kerr Tar Area Agency on Aging

Name of Service: Caregiver Training Programs (PTFC)

Service Code: 835


FY: 2017

- 1 Budget:
  - A. For non-unit activities (including health promotion, medication management, senior center general purpose, Housing and Home Improvement, and the family caregiver support program), attach a line-item budget identifying all personnel involved, salaries, fringe, travel, equipment, indirect cost rate, and other expenses.
  - B. For unit-producing activities, funded by the HCCBG, attach the Provider Services Summary (DOA-732) and the Service Cost Computation Worksheet (DOA-732A) and the Labor Distribution Worksheet (DOA-732A1).
- 2 Complete and attach Form DOA-733 describing the method for targeting low-income minority and rural persons.
- 3 Describe the efforts made to cultivate new or existing contractors to provide this service, the results to date, and plans for the upcoming year:  
With the limited funds that are available in our region, we feel it is in the best interest of older adults to have the AAA use the funds to support the efforts of the Family Caregiver Specialist for program expansion and to continue to provide all materials and supplies needed to implement Powerful Tools for Caregivers.
- 4 For non-unit producing activities only (item #1A above) provide a brief narrative of the planned service and activities.  
AAA plans to use our FCSP to facilitate Powerful Tools for Caregivers throughout the region. Funds will be used to market, recruit, and facilitate the program as well as purchase new all supplies and materials needed for the program.

  
Area Agency on Aging Director

6.7.16  
Date

Approved Not Approved  
(circle one)

  
Director, NC DAAS  
6/26/16  
Date

**Provision of Direct Services (Continued)**  
Template for providing budget for non-unit activities

Region: K

Name of Service:	FCSP	HP/DP
Federal/State Allocation	4750	24934
Local Match In-kind		2494
Other		
<b>Total Revenue</b>	<b>4750</b>	<b>\$5728</b>
Salary	1270	7387
Fringe Benefits	480	2792
Other		
<b>Total Personnel</b>	<b>1750</b>	<b>10179</b>
Indirect	830	4828
Program Costs	327	1904
<b>Subtotal</b>	<b>1157</b>	<b>\$6732</b>
Telephone		
Travel	872	1843
Supplies	971	1843
Materials		
Outreach Activities		
Advertising		1843
<b>Subtotal</b>	<b>1843</b>	<b>\$5529</b>
Respite		
Supplemental Services		
Other		
<b>Subtotal</b>		
<b>Total Expenses</b>	<b>4750</b>	<b>\$22,533</b>
<b>Name of Personnel</b>	Melissa Catlett	Sheila Brown
<b>Position/Title</b>	Family Caregiver Specialist	Aging Programs Coordinator
<b>% of time worked</b>	FTE 3%	FTE 20%

\*\* Example Direct Service  
 Salary: 20% of the Aging Programs Coordinator salary and 3% of the FCSP salary will be charged to these funds for SFY2017.

*Fringe: Retirement, Group Insurance, Annual Leave, Sick Leave, Workers Compensation, Unemployment Insurance and Longevity.*

*Travel: Travel to training site, Travel to five county region to facilitate workshops and trainings.*

*Supplies: CDSMP7DSMP/CFSMP books and cds; MOB materials and training, Healthy Eating for Older Adults certification, and all materials needed to facilitate the programs. In addition, Powerful Tools for Caregivers supplies will be purchased.*

*Other: Advertising for all EBHP programs (flyers, billboards, brochures, registration forms) and Program Costs.*

*Indirect: Administration, Salaries, Professional Services (Audit and Legal fees), Occupancy Costs, and Operating Expenses.*

DOA-733  
(Rev. 2/15)

Home and Community Care Block Grant for Older Adults

County Funding Plan

July 1, 2016 through June 30, 2017

Methodology to Address Service Needs of Low Income (Including Low-Income Minority Elderly), Rural Elderly and Elderly with Limited English Proficiency (Older Americans Act, Section 305(a)(2)(E))

Community Service Provider Kerr Tar Regional COG Area Agency on Aging

County Franklin, Granville, Person, Vance and Warren

The Older Americans Act requires that the service provider attempt to provide services to low-income minority individuals in accordance to their need for aging services. The community service provider shall specify how the service needs of low income, low-income (including low income minority elderly), rural elderly and elderly with limited English proficiency will be met through the services identified on the Provider Services Summary (DOA-732). This narrative shall address outreach and service delivery methodologies that will ensure that this target population is adequately served and conform with specific objectives established by the Area Agency on Aging, for providing services to low income minority individuals. Additional pages may be used as necessary.

Kerr Tar Area Agency on Aging will give first priority to those services covered through this contract to older adults with the greatest social and economic needs, with emphasis on low income minority elderly individuals. This agency will attempt to provide services to low income minority individuals in at least the same proportion of low income minority individuals bears to population of Region K older adults.

All five counties in our region are considered rural and high minority and low income, it is necessary to have the III-D programs throughout our region in order to enhance and to encourage a higher quality of life. Our Aging Programs Coordinator administers all III-D programs in the Kerr Tar region. She will ensure all programs are marketed and targeted to low income minority individuals. Classes are offered at senior centers, cooperative extension offices, community centers and local churches. Staff at the Area Agency on Aging are properly trained and ambitious in serving the needs of our region.





A.	B.	C.	D.	E.					F.					G.					
				Schedule for Programmatic Review**					Schedule for Unit Verification***					Schedule for Fiscal Review****					
Prov. Code	Community Service Providers & Funded Services	Counties Served	Monitoring Agency*	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20
	Family Caregiver Support Program				X			X											
	SC Operations			X		X													
070	Granville Co. Senior Services (3 Sites)	Granville	AAA	16/17												X			X
	Congregate Nutrition		AAA, Granville Senior Center		X				X				X						
	Home Delivered Meals		AAA, Granville Senior Center		X				X				X						
	General Transportation		AAA, Granville Senior Center	X					X				X						
	Medical Transportation		AAA, Granville Senior Center	X					X				X						
	In-Home Aide		AAA	X					X				X						

Prov. Code	A.	B.	C.	D.	E.					F.					G.				
					Schedule for Programmatic Review**					Schedule for Unit Verification***					Schedule for Fiscal Review****				
Community Service Providers & Funded Services	Counties Served	Monitoring Agency*	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	
	Housing & Home Improvement		AAA, Granville Senior Center	X	X	X	X	X	X	X									
	Family Caregiver Support Program				X		X		X				X						
	SC Operations				X														
077	Region K Community Assistance Corp./Person County Senior Center	Person	AAA														X		X
	Congregate Nutrition		AAA		X		X		X			X							
			Person County Senior Center																
	Home Delivered Meals		AAA	X	X		X	X	X		X	X		X					
			Person County Senior Center																

	General Transportation	AAA	Person County Senior Center	X		X		X		X									
	In-Home Aide	AAA			X		X				X								
	CDS			X		X		X		X									
	Family Caregiver Support Program				X				X			X							
	SC Operations			X		X													

A.	B.	C.	D.	E.					F.					G.						
Prov. Code	Community Service Providers & Funded Services	Counties Served	Monitoring Agency*	Schedule for Programmatic Review**					Schedule for Unit Verification****					Schedule for Fiscal Review*****						
				16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	
073	Person County DSS	Person	AAA													X				X
	In Home Aide		AAA	X		X		X		X				X						
091	Vance County DSS	Vance	AAA													X				X
	Congregate Nutrition		AAA Vance Senior Center	X		X		X		X				X						

A.	B.	C.	D.	E.					F.					G.				
Prov. Code	Community Service Providers & Funded Services	Counties Served	Monitoring Agency*	Schedule for Programmatic Review**					Schedule for Unit Verification***					Schedule for Fiscal Review****				
				16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20			
	Home Delivered Meals		AAA	X		X		X		X								
	General Transportation		AAA	X		X		X		X								
			Vance Senior Center															
	Medical Transportation		AAA	X		X		X		X								
			Vance Senior Center															
	In-Home Aide			X	X			X	X			X						
	Family Caregiver Support Program				X			X	X			X						
	SC Operations				X													
095	Legal Aide of NC	Franklin, Granville, Person, Vance, Warren	AAA	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20	16/17	17/18	18/19	19/20			
					X				X				X					
093	Warren County DSS	Warren	AAA															

	In-Home Aide		AAA	X				X	X										
	Adult Day Care			X			X	X					X						
	Adult Day Health			X				X	X										
085	Warren County Senior Center	Warren	AAA																
	Congregate Nutrition		AAA	X		X		X			X								
	Home Delivered Meals		AAA	X		X		X			X								
	General Transportation		AAA	X	X			X	X		X								
			Warren Senior Center																
	Medical Transportation		AAA	X	X			X	X		X								
			Warren Senior Center																
	Family Caregiver Support Program				X			X			X								
	SC Operations			X		X		X			X								

\*Identifies assessment responsibilities for the Area Agency on Aging (AAA) and the NC Division of Aging and Adult Services (DAAS). If the AAA is the monitor and there is both a provider and subcontractor(s) to be monitored, insert one of the following codes to indicate how subcontractor(s) will be monitored: AAA-1 = AAA will monitor subcontractor, AAA-2 = provider will monitor subcontractor, AAA-3 = both AAA and provider will monitor subcontractor.

\*\*Scheduled as needed but at least once every three years; \*\*\* Scheduled as needed but at least every other year; \*\*\*\* Scheduled as warranted by annual risk evaluations.